

VIA HAND DELIVERY
PATENT
36856.1239

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kazunobu SHIMOE et al. Serial No.: Unknown Filed: Concurrently herewith Title: SURFACE ACOUSTIC WAVE APPARATUS AND MANUFACTURING METHOD THEREFOR	Parent Application Serial Number: 10/025,901 Parent Application Filing Date: December 26, 2001
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INFORMATION DISCLOSURE STATEMENT

U.S. Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop PATENT APPLICATION
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

In accordance with 37 CFR §1.56, and in recognition of their duty to disclose to the United States Patent and Trademark Office relevant information known to be material to patentability, Applicants submit herewith an Information Disclosure Statement (Form PTO-1449), which lists all the prior art references that were listed in the Parent Application No. 10/025,901, currently pending. Accordingly, a copy of these references can be found in the Parent Application No. 10/025,901, currently pending.

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Respectfully submitted,

Date: March 23, 2004



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Substitute for form 1449/PTO

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number
Filing Date
First Named Inventor **Kazunobu SHIMOE**
Art Unit
Examiner Name
Attorney Docket Number **36856.1239**

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code 2(if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	6,557,225	05/2003	Takata	
	2	6,518,860	02/2003	Ella et al.	
	3	6,516,503	02/2003	Ikada et al.	
	4	6,367,133	04/2002	Ikada et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number-Kind Code ⁵ (if known)	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	5	JP 1-103010	04/1989	JAPAN		X
	6	JP 63-127610	05/1998	JAPAN		X
	7	TW 453027	09/2001	TAIWAN		X
	8	JP 56-43816	04/1981	JAPAN		X
	9	JP 11-312943 A	11/1999	JAPAN		X
	10	EP 0 878 905 A2	11/1998	EPO		X

Examiner Signature		Date Considered	
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*Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

¹ Applicant unique citation designation number (optional). ² See Kind Codes of USPTO Patent documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Abstract is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.